

## **Summary for Uni-President Enterprises to handle disclosure of material inside information**

1. The Company shall implement its handling and disclosure of material inside information in accordance with “Procedures for handling material inside information “and “ Regulations Governing Establishment of Internal Control Systems by Public Companies” of the Financial Supervisory Commission.

2. We have detailed in Article 15 of our “Procedures for Ethical Management and Guidelines for Conduct” that this Corporation's personnel shall adhere to the provisions of the Securities and Exchange Act, and may not take advantage of undisclosed information of which they have learned to engage in insider trading. Personnel are also prohibited from divulging the undisclosed information to any other party in order to prevent another party from using the said undisclosed information to engage in insider trading. Any organization or person outside of this Corporation that is involved in any merger, demerger, acquisition and share transfer, major memorandum of understanding, strategic alliance, other business partnership plan, or the signing of a major contract by this Corporation shall be required to sign a non-disclosure agreement in which they undertake not to disclose to any other party any trade secret or other material information of this Corporation acquired as a result, and that they may not use such information without the prior consent of this Corporation.

3. To protect its shareholders' rights and interests and ensure their equal treatment, the Company adopts internal rules prohibiting company insiders from trading securities using information not disclosed to the market.

It is advisable that the rules mentioned in the preceding paragraph include stock trading control measures from the date insiders of the Company become aware of the contents of the company's financial reports or relevant results. The directors are not allowed to trade their shares 30 days before the announcement of the annual financial report, and 15 days before the announcement of the quarterly financial report.

4. We also have lined in our “Employee codes of conduct” that all levels of associates shall handle the confidential document in great care, not reveal to others, and away from "insider trading" regulated by Stock Trading Committee.

5. The Chair should advocate the importance of preventing inside trading before the BOD meeting as follows:

According the Upon actually knowing of any information that will have a material impact on the price of the securities of the issuing company, after the information is

precise, and prior to the public disclosure of such information or within 18 hours after its public disclosure, the following persons shall not purchase or sell, in the person's own name or in the name of another, shares of the company that are listed on an exchange or an over-the-counter market, or any other equity-type security of the company:

- A. A director, supervisor, and/or managerial officer of the company, and/or a natural person designated to exercise powers as representative pursuant to Article 27, paragraph 1 of the Company Act.
- B. Shareholders holding more than ten percent of the shares of the company.
- C. Any person who has learned the information by reason of occupational or controlling relationship.
- D. A person who, though no longer among those listed in one of the preceding three subparagraphs, has only lost such status within the last six months.
- E. Any person who has learned the information from any of the persons named in the preceding four subparagraphs.

Upon actually knowing of any information that will have a material impact on the ability of the issuing company to pay principal or interest, after the information is precise, and prior to the public disclosure of such information or within 18 hours after its public disclosure, the persons listed in the preceding paragraph shall not sell, in the person's own name or in the name of another, the non-equity-type corporate bonds of such company that are listed on an exchange or an over-the-counter market.

6. These procedures and control elements named CZ-118 “preventing internal trading management” have been incorporated into our Corporation's internal control system.